

05-05-04 ZFW

PATENTS  
107044-0028

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(5) / 13



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:  
John Edward Lecky

Serial No.: 10/719,456

Filed: November 21, 2003

For: DYNAMIC FUEL CELL SYSTEM  
MANAGEMENT CONTROLLER

Examiner: Not yet assigned

Art Unit: 2838

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
May 4, 2004

**EXPRESS-MAIL DEPOSIT**

“Express Mail” Mailing-Label Number: EV 335 587 307 US

The following papers are being deposited with the United States Postal Service  
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

X Check No. 28433 for \$428.00

X Fee Transmittal Letter

X NOTIFICATION OF CHANGE IN  
FEE PAYMENT DUE TO APPLICANT  
NO LONGER CLAIMING SMALL EN-  
TITY STATUS

X Return Postcard

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims Small Entity Status. See 37 CFR 1.15.

TOTAL AMOUNT OF PAYMENT (\$ 428

## Complete if Known

Application Number	10/719,456
Filing Date	November 21, 2003
First Named Inventor	John Edward Lecky
Examiner Name	Not yet assigned
Group Art Unit	2838
Attorney Docket No.	107044-0028

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 03-1237

Deposit Account Name Cesari and McKenna, LLP

The Commissioner is authorized to: (check all that apply)  
Charge any deficiency or

☐ Charge fee indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

				Extra Claims		Fee from below	Fee Paid		
Total Claims	<input type="text"/>	-	20**	=	<input type="text" value="0"/>	×	<input type="text" value="0"/>	=	<input type="text" value="0"/>
Independent Claims	<input type="text"/>	-	3**	=	<input type="text" value="0"/>	×	<input type="text"/>	=	<input type="text" value="0"/>
Multiple Dependent							<input type="text"/>	=	<input type="text" value="0"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1201	84	2201	9	Claims in excess of 20
1202	84	2202	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

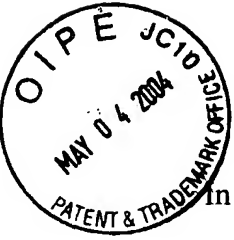
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English Specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility Issue fee (or reissue)	
1502	470	2502	235	Design Issue fee	
1503	630	2503	315	Plant Issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)				Deficiency in fees paid 11/21/03	428
Other fee (specify)					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$ 428

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Rita M. Rooney Registration No. 30,585 Telephone (617) 951-2500  
Signature Date May 4, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: )  
John Edward Lecky )  
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TEM MANAGEMENT CON- )  
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Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
May 4, 2004

"Express Mail" Mailing-Label Number: EV 335 587 307 US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**NOTIFICATION OF CHANGE IN FEE PAYMENT DUE TO APPLICANT NO  
LONGER CLAIMING SMALL ENTITY STATUS**

The Applicant had asserted small entity status in this application and the payment of fees as a small entity were made in good faith. However, as of November 1, 2003, Applicant is no longer claiming small entity status, and is hereby notifying the Office of this matter pursuant to 37 C.F.R. § 1.27(f) and (g), and the deficiencies in fees previously paid as of that date are being paid herewith. Accordingly, the deficiency in fees paid is being calculated as the difference between the current large entity amount and the previ-

05/07/2004 AWONDAF1 00000054 10719456

01 FC:1001 770.00 OP  
02 FC:1201 86.00 OP

ous fee paid as a small entity after November 1, 2003. These items are summarized below.


Fee paid: Utility Application Filing fee and Extra Claims fee,  
filed on November 21, 2003 \$428

Fees due as large entity: \$856

Deficiency, enclosed herewith: \$428

Please charge any additional fee occasioned by this paper to our Deposit Account  
No. 03-1237.

Respectfully submitted,

  
Rita M. Rooney  
Reg. No. 30,585  
CESARI AND MCKENNA, LLP  
88 Black Falcon Avenue  
Boston, MA 02210-2414  
(617) 951-2500